

2022  
**EITL**  
**FORUM**

by  **COMPLIANCE.AI**

Expert-in-the-Loop  
Virtual Forum

September 7-8, 2022

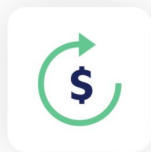
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# About Compliance.ai



Compliance.ai's mission is to transform the way highly regulated organizations address compliance risk and to modernize compliance processes for both regulators and regulated entities.

Compliance.ai delivers regulatory change management (RCM) solutions designed specifically for firms in the banking, financial services, and insurance industries (BFSI). Our unique Expert-In-The-Loop methodology ensures human expert oversight of advanced machine learning technologies.

Our clients include mid-to-large sized banks, financial advisers, asset/fund management firms and fintech companies, many with global operations. We empower compliance teams to proactively manage the growing volume and complexity of regulatory changes in real-time and we help ensure that the affected lines of business implement appropriate steps to address obligations. They use our solution to mitigate compliance risk, reduce costs and boost confidence in compliance status for the entire enterprise.

# Expert-In-The-Loop Forum

by Compliance.ai

The Expert-In-The-Loop Forum by Compliance.ai is the industry's only event specific to Regulatory Change Management for the BFSI industry. The event that brings together risk and compliance management executives and leaders from regulatory agencies that focus on regulatory concerns of BFSIs.

The EITL Forum provides an opportunity to make new connections, share best practices, and discuss regulatory directions and trends.

Join us to hear from industry experts about expected developments in regulations that affect the BFSI industry and how technology is helping legal, risk, and compliance teams to manage regulatory change

Event Date

**September 7-8**

Estimated Attendees

**500**

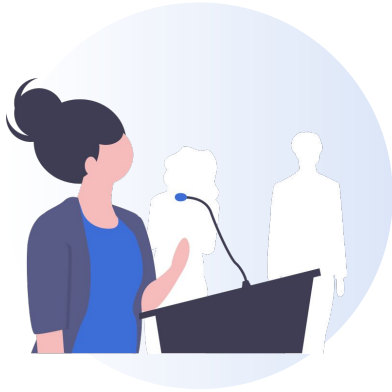
Application Deadline

**June 30**

# Forum Activities

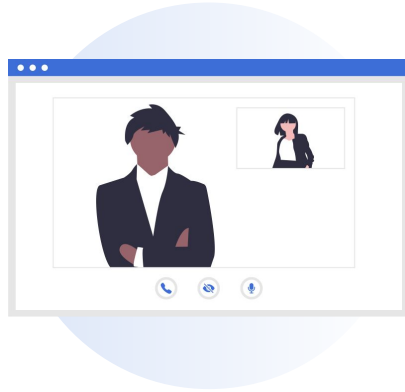
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Keynotes



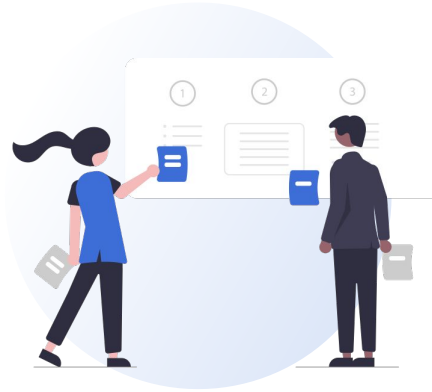
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Expert Panels



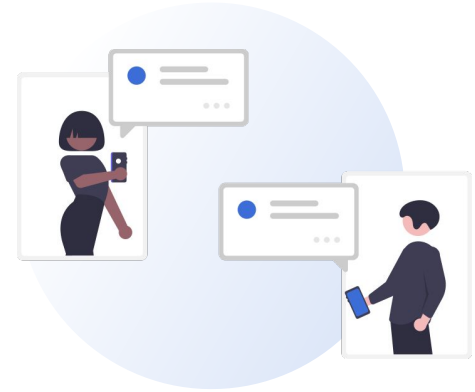
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Breakout Sessions



6

Networking Sessions



# Types of Firms



**Banks,  
Credit Unions  
& Lending Firms**



**Insurance  
Companies**



**FinTechs**



**Asset  
Managers**

# Roles of Attendees



**Chief Risk Officers**



**Head of AML/Financial Crime**



**Regulators**



**Industry Leaders & Experts**



**Regulatory Change Managers**



**Regulatory Advisors**



**General Counsel**



**Chief Compliance Officers**

# EITL Forum: Agenda Topics

Theme	Focus	Audience	Speakers	Sample Topics	
<b>Regulatory Outlook</b>	Discuss trends and predictions in regulatory topics for BFSI	<ul style="list-style-type: none"> <li>• CCO</li> <li>• GC</li> <li>• CRO</li> <li>• Head of AML / Financial Crime</li> </ul>	<ul style="list-style-type: none"> <li>• Advisors</li> <li>• Analysts</li> <li>• Global RegTech advisors</li> </ul>	<ul style="list-style-type: none"> <li>• Privacy</li> <li>• Cryptocurrencies</li> <li>• CFPB, CFTC alignment</li> <li>• Changes in enforcement actions/priorities</li> <li>• Dodd-Frank update</li> </ul>	<ul style="list-style-type: none"> <li>• Evolving PPP</li> <li>• Regulatory shift to focus on Business Continuity and resiliency</li> <li>• Preview Regulatory Alliance</li> <li>• Chartering FinTech</li> </ul>
<b>Managing Risk &amp; Compliance</b>	Understand best practices and lessons learned about using RegTech to manage risk and compliance	<ul style="list-style-type: none"> <li>• VP</li> <li>• Director</li> </ul>	<ul style="list-style-type: none"> <li>• Advisors</li> <li>• Customers</li> <li>• Partners</li> </ul>	<ul style="list-style-type: none"> <li>• Managing compliance with remote operations</li> <li>• Managing compliance across the enterprise</li> <li>• Preview the Regulatory Alliance by Compliance.ai</li> </ul>	<ul style="list-style-type: none"> <li>• Optimizing your compliance budget with Compliance.ai</li> <li>• Using automation and machine learning to manage risk and compliance</li> </ul>
<b>Using CAI</b>	Learn how to get the most from the solution and integrating with other applications	<ul style="list-style-type: none"> <li>• RCMs</li> <li>• Other users</li> </ul>	<ul style="list-style-type: none"> <li>• CAI experts</li> <li>• Customers</li> <li>• Partners</li> </ul>	<ul style="list-style-type: none"> <li>• Customer Advisory Council Launch</li> <li>• Future directions of Compliance.ai</li> <li>• Using Compliance.ai Across Lines of Business</li> <li>• Using Expert Connection</li> <li>• Optimizing workflow</li> <li>• Reporting with Compliance.ai</li> </ul>	<ul style="list-style-type: none"> <li>• Customer case studies</li> <li>• Joint Partner sessions</li> <li>• Using Concepts to reduce the RCM burden</li> <li>• Using Business Profiling</li> <li>• Introducing the Contributor Network</li> </ul>



# EITL Forum: Agenda Topics

Theme	Focus	Audience	Speakers	Sample Topics	
<b>Deploying RegTech</b>	Learn best practices and techniques for managing RegTech	<ul style="list-style-type: none"> <li>CTOs, VP, Tech staff at BFSIs</li> </ul>	<ul style="list-style-type: none"> <li>CAI experts</li> <li>Partners</li> <li>Customer IT</li> </ul>	<ul style="list-style-type: none"> <li>Best practices using AI in RegTech</li> <li>How supervised learning can increase accuracy</li> <li>Managing compliance with remote operations</li> </ul>	<ul style="list-style-type: none"> <li>Multilingual natural language processing and translation services</li> <li>Using the Compliance.ai Developer Platform</li> <li>Partner / customer case studies/sessions</li> </ul>
<b>Special Interest</b>	Discuss operations and topics of interest to your role or BFSI firm	<ul style="list-style-type: none"> <li>CCO</li> <li>GC</li> <li>CRO</li> <li>Head of AML / Financial Crime</li> </ul>	<ul style="list-style-type: none"> <li>Advisors</li> <li>Customers</li> </ul>	Risk & Compliance topics for: <ul style="list-style-type: none"> <li>Banks</li> <li>FinTech</li> <li>Asset Management</li> <li>Insurance</li> </ul>	Topics related to: <ul style="list-style-type: none"> <li>CRO</li> <li>CCO</li> <li>GC</li> <li>Head of AML / Financial Crime</li> <li>Regulatory Control Managers</li> <li>Regulatory Advisors</li> </ul>
<b>Contributor Network</b>	Network with professionals in GRC and learn about RegTech	<ul style="list-style-type: none"> <li>Students</li> </ul>	<ul style="list-style-type: none"> <li>CAI experts</li> <li>Students</li> </ul>	<ul style="list-style-type: none"> <li>CN / Student Networking Session</li> <li>Contributor of the year award</li> </ul>	

# Sponsorship Opportunities: Tech Partners

## Compliance.ai

## Sponsorship Requirements

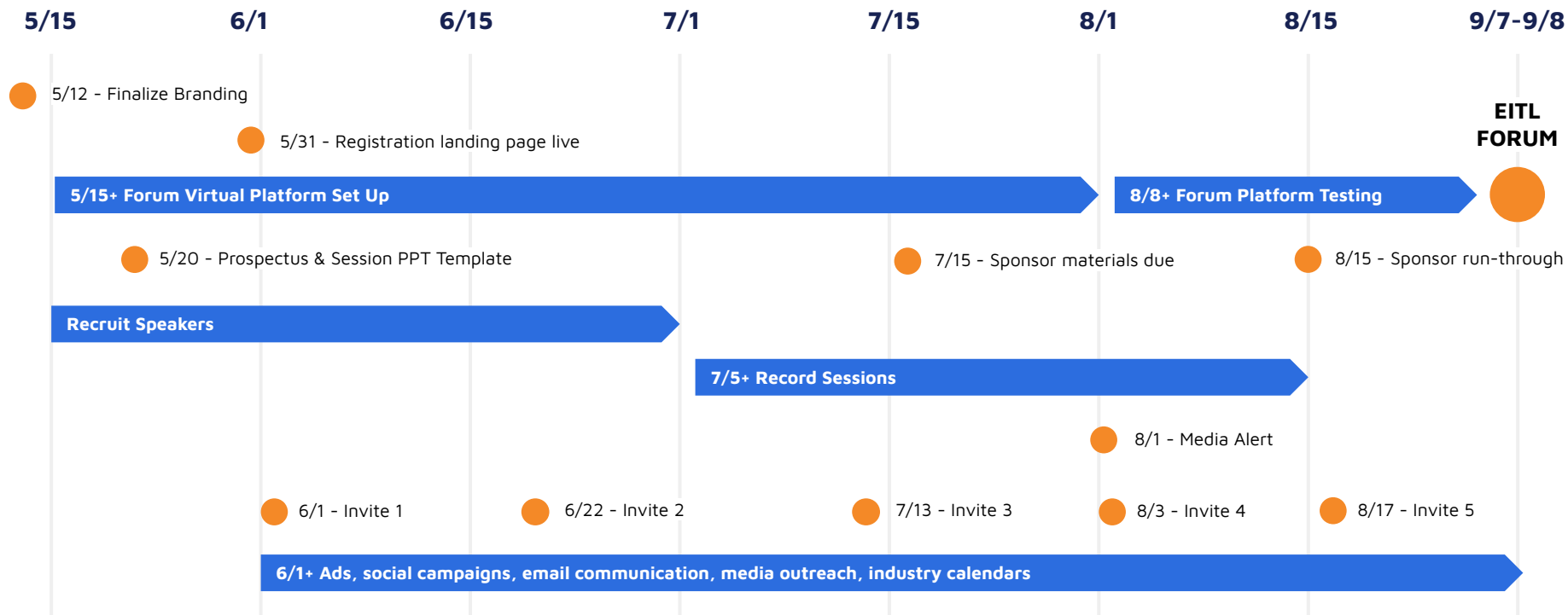
<b>Pre-Event</b>	<ul style="list-style-type: none"><li>■ Logo and link included in all emails to attendees</li><li>■ Logo and link on conference website</li><li>■ Sponsor video on Compliance.ai social media</li><li>■ Company profile</li><li>■ Demographic Information for attendees who opted in</li></ul>	<ul style="list-style-type: none"><li>■ Social/digital promotion to drive attendance to event: 6 promotions to be spaced out starting in June</li><li>■ Invite customers via sales and email (call script and email template provided)</li><li>■ Deliver pre-recorded speaking or panel session by August 1</li><li>■ Deliver logo, banners, company information by deadlines</li></ul>
<b>During the Event</b>	<ul style="list-style-type: none"><li>■ Promotion as sponsor on conference site: logo, link, banner ad</li><li>■ 45-minute speaking or panel session</li><li>■ Host networking session on agreed topic</li><li>■ Promotion as sponsor of selected session: logo and/or banner ad</li><li>■ Logo on intermission slide</li><li>■ Acknowledgement of sponsors by CEO on opening day</li></ul>	<ul style="list-style-type: none"><li>■ Up to two attendees from sponsor company</li><li>■ Live and chat networking with industry experts and conference attendees</li><li>■ Respond to audience questions during session or panel</li></ul>
<b>Post-Event</b>	<ul style="list-style-type: none"><li>■ Sponsor's material available on conference site</li><li>■ Provide recording of sponsor session including Q&amp;A</li><li>■ Contact information and joint sales efforts for attendees of speaking and/or networking session</li><li>■ Traffic analytics for attendees of speaking and/or networking session</li><li>■ Mentions on Compliance.ai social media</li><li>■ Logo included in post-event emails to attendees</li></ul>	<ul style="list-style-type: none"><li>■ Promote recorded session and partnership with Compliance.ai post-event on website, social media, and demand generation activities</li><li>■ Participate in joint sales efforts</li></ul>

# Sponsorship Examples

- Social media mentions
- Video promotion
- Email promotion
- Virtual promotional space during the event
- Networking opportunities



# EITL Forum Timeline



# Join us at the EITL Forum by Compliance.ai

Please contact our staff to learn more and sign up as a sponsor or speaker.

**Deadline for applications  
is June 30, 2022.**

## Sponsorship & Speaking

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